SHIVALIK

SHIVALIK BIMETAL CONTROLS LIMITED

Registered Office: 16 - 18 New Electronics Complex, Chambaghat, Solan, HP - 173212, India
Ph: +91 - 1792 - 230578 Email: shivalik@shivalikbimetals.com Web: www.shivalikbimetals.com
Investor Relations: investor@shivalikbimetals.com CIN: L27101HP1984PLC005862

SBCL/BSE & NSE /2026-27/63 **19th November, 2026**

To, BSE Limited Corporate Relationship Deptt. PJ Towers, 25th Floor, Dalal Street, Mumbai – 400 001 **Code No. 513097**	To, National Stock Exchange of India Ltd. Exchange Plaza, Plot No. C/1, G-Block Bandra Kurla Complex, Bandra (East), Mumbai – 400 051 **Code No. SBCL**

Sub.: Reporting to Stock Exchanges regarding violations under Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 relating to the Code of Conduct (CoC).

Ref: SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020

Dear Sir/Madam,

Pursuant to SEBI Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020, please find enclosed the report on violations of the Company's Code of Conduct for Prevention of Insider Trading by Designated Persons and/or their immediate relatives.

Further, with reference to the Company's earlier submission dated September 01, 2026, vide reference No. SBCL/BSE&NSE/2026-27/47, we wish to inform you that the Audit Committee, at its meeting held on November 04, 2026, reviewed the reported instance of violation of the Code of Conduct. Upon deliberation, the Committee decided to issue a formal warning letter to the concerned Designated Persons.

This is submitted for your information and records. This is for your information and records.

Thanking you

Yours truly

For Shivalik Bimetal Controls Limited

AARTI SAHNI Digitally signed by AARTI SAHNI Date: 2026.11.19 17:53:49 +05'30'

Aarti Sahni
Company Secretary
M.No. A25690

Encl. as above

Corporate Office:
4th Floor, Space No. 408, Eros Corporate Tower,
Nehru Place, New Delhi - 110019, India
Ph: +91-11-43071031
Email: shivalik@shivalikbimetals.com
Web: www.shivalikbimetals.com

Report by Shivalik Bimetal Controls Limited for violation related to Company's Code under SEBI (Prohibition of Insider Trading) Regulations, 2015

For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

S.No.	Particulars	Details
1.	Name of the listed company/ ~~Intermediary/Fiduciary~~	Shivalik Bimetal Controls Limited
2.	Please tick appropriate checkbox Reporting in capacity of : • **Listed Company (X)** • Intermediary (……..) • Fiduciary (……)	
3.	**A. Details of Designated Person (DP)**	
	i. Name of the DP	Harpreet Singh
	ii. PAN of the DP	CPKPS5823Q
	iii. Designation of DP	Senior Manager – Sales & Marketing
	iv. Functional Role of DP	-
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	Charanjeet Singh
	ii. PAN of the immediate relative of DP	EKKPS2830C
	C. Details of transaction(s)	
	i. Name of the scrip	Shivalik Bimetal Controls Limited (NSE: SBCL and BSE: 513097)
	ii. No of shares traded and value (Rs.) (Date- wise)	i) 5 equity shares @ Rs. 1005.9/- i.e. Rs. 5029.50/- (Date: 08.11.2026) ii) 20 equity shares @ Rs. 1038.6/- i.e. 20772/- (Date: 13.11.2026)
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP/~~director/promoter/promoter group~~ to Company under regulation 7 of SEBI (PIT) Regulations, 2015	-
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	-
4.	Details of violations observed under Code of Conduct	Prior approval not taken
5.	Action taken by Listed company/ ~~Intermediary/ Fiduciary~~	Action is pending with the Audit Committee and the same shall be intimated to the stock exchanges once decided by the Audit Committee.
6.	Reasons recorded in writing for taking action stated above	Violation of Code of Conduct & SEBI insider Trading Regulations.
7.	Details of the previous instances of violations, if any, since last financial year	NA

<table>
<tr><td>8.</td><td>If any amount collected for Code of Conduct violation(s)</td><td>To be decide after action of audit committee</td></tr>
<tr><td></td><td>i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)</td><td>-</td></tr>
<tr><td></td><td>ii. Details of transfer/payment

In case of Online:

<table><tr><th>Particulars</th><th>Details</th></tr><tr><td>Name of the transferor</td><td></td></tr><tr><td>Bank Name, branch and Account number</td><td></td></tr><tr><td>UTR/Transaction reference Number</td><td></td></tr><tr><td>Transaction date</td><td></td></tr><tr><td>Transaction Amount (in Rs.)</td><td></td></tr></table>
In case of Demand Draft (DD):

<table><tr><th>Particulars</th><th>Details</th></tr><tr><td>Bank Name and branch</td><td></td></tr><tr><td>DD Number</td><td></td></tr><tr><td>DD date</td><td></td></tr><tr><td>DD amount (in Rs.)</td><td></td></tr></table></td><td>-</td></tr>
<tr><td>9.</td><td>Any other relevant information</td><td>NA</td></tr>
</table>

Yours faithfully,

Date: 19.11.2026
Place: Delhi

AARTI SAHNI
Digitally signed by AARTI SAHNI
Date: 2026.11.19 17:54:10 +05'30'

Signature of Compliance Officer: ……..
Name of Compliance Officer: Mrs. Aarti Sahni
PAN: AUKPA6293R
Email ID: aartijassal@shivalikbimetals.com