October 22, 2026

To,
National Stock Exchange Limited,
Listing Department,
Exchange Plaza, C-1, Block G,
Bandra Kurla Complex,
Bandra (E) Mumbai 400 051
NSE Symbol - EFFWA,

Sub: SDD Compliance Certificate for the Quarter ended September 2026.

Dear Sir/Madam,

Pursuant to provisions of Regulation 3(5), 3(6) and other applicable provisions of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, please find enclosed the Structured Digital Database (SDD) Compliance Certificate for the Quarter ended September 30, 2026.

This is for your information and record.

For EFFWA INFRA & RESEARCH LIMITED

Varsha Subhash Kamal

Digitally signed by Varsha Subhash Kamal
Date: 2026.10.22 17:55:09 +05'30'

VARSHA S. KAMAL
MANAGING DIRECTOR
DIN: 00270314

Encl: as above

YOGESH D. DABHOLKAR
B.Com, LL.B, F.C.S

Cell: 9892570815
Phone: 0251-2882700

YOGESH D. DABHOLKAR & Co.
COMPANY SECRETARY

Address: 104, Amber Castle B/H DNC High School, Opp. Gopal Baug, Dombivli (E)-421201 Email: yddcsecretarial@gmail.com

STRUCTURED DIGITAL DATABASE (SDD) COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 30, 2026

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Yogesh Dinanath Dabholkar, Practicing Company Secretary appointed by Effwa Infra & Research Limited (the company) am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that

1. The Company has a Structured Digital Database in place.
2. Control exists as to who can access the SDD.
3. Based on the information provided to me, the UPSI disseminated in the previous quarter or financial year have captured in the Database as on date.
4. The system has captured nature of UPSI along with date and time.
5. The database has been maintained internally and an audit trail is maintained.
6. The database is non-tamperable and has the capability to maintain the records for 8 years.

Based on the information provided to me, I also confirm that the Company was required to capture 05 (Five) number of events during the quarter ended September 30, 2026 and has captured 05 (Five) events.

I further report that the following non-compliance (s) were observed in the previous quarter ended June 30, 2026, along with the status of remedial action(s) taken:

1) The Company had not identified and captured any event(s) for the purpose of recording in the Structured Digital Database during the quarter ended June 30, 2026.

2) Consequently, no entries pertaining to any event(s) were recorded in the Structured Digital Database for the said quarter, and the same continue to remain unrecorded as on the date of this report.

YOGESH D. DABHOLKAR & CO. COMPANY SECRETARY DOMBIVLI

The management of the Company has represented that necessary entries in the Structured Digital Database have been made on 1st September, 2026 for the relevant events in the previous quarter (s) as required under the provisions of the SEBI (Prohibition of Insider Trading) Regulations, 2015. Also, the management of the Company has taken necessary steps to ensure proper identification and recording of events in the SDD.

For **YOGESH D. DABHOLKAR & CO.,**
Practicing Company Secretary

YDabholkar

YOGESH D. DABHOLKAR & CO. COMPANY SECRETARY

Yogesh D. Dabholkar
Proprietor
Membership No. 6336
COP: 6752.
PR No: 7086/2025
UDIN: F006336H001977360
Date: 22/10/2026.
Place: Dombivli.

Disclaimer

1) This certificate is exclusively issued upon the request made by the Company and is issued solely based on the information and documents provided. It is grounded entirely on factual data, interpretation, and implication of Regulation 3(5) and 3(6) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations), and is subject to my professional opinion.

2) The obligation lies with the Management/Company to enforce the stipulations outlined in Regulation 3(5) and 3(6) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations). This includes the maintenance of records and the establishment of appropriate systems to guarantee adherence to said provisions. Furthermore, it is incumbent upon the Management/Company to ensure that such systems are sufficient and function efficiently in accordance with the Regulations and any subsequent amendments issued periodically.

