National Stock Exchange of India Limited
Circular

DEPARTMENT: INSPECTION	
Download Ref No: NSE/INSP/77487	**Date: November 18, 2026**
Circular Ref. No: 57/2026	

To All Members,

Subject: Reminder for Submission of VAPT Action Taken Report for the FY 2025-26

This is with reference to Exchange circular reference no. NSE/INSP/74185 dated May 14, 2026, on 'Submission of VAPT Report for the FY 2025-26'.

As mentioned in the above circular, in case of any "Open Vulnerabilities" have been identified and reported by the CERT-In empanelled auditor in the VAPT Report for FY 2025-26, the trading members are required to submit the Action Taken Report (ATR), to the Exchange through the Member Portal on or before **November 30, 2026.** The submission of VAPT Action Taken Report shall be considered complete only upon submission of the digitally signed action taken report by the auditor to the Exchange.

The guidelines for submitting the VAPT Action Taken Report (ATR)/ Compliance Report on Member portal is enclosed for reference as **Annexure – A**. The due date of submission of Action Taken report (ATR)/Compliance Report is **November 30, 2026**.

Trading members are requested to take note of penalty/disciplinary action applicable for delayed/non-submission and non-closure of vulnerabilities within prescribed timelines, as prescribed in the Annexure 1.2 of Exchange circular no. NSE/INSP/73792 dated April 17, 2026, regarding "Ease of Doing Business - Rationalization and Standardization of penalties levied on Trading Members".

All Members are requested to take note of the above and ensure timely submission of VAPT Action Taken Report (ATR)/ Compliance Report.

For and on behalf of
National Stock Exchange of India Limited

Prashant Aier
Chief Manager – Inspection

In case of any clarifications, Members may contact our below offices:

Regional Office	Email Address	Contact No.
Ahmedabad (ARO)	inspectionahm@nse.co.in	079- 49008632
Chennai (CRO)	inspection_cro@nse.co.in	044- 66309915 / 17
Delhi (DRO)	delhi_inspection@nse.co.in	011- 23459127 / 38 / 46
Kolkata (KRO)	inspection_kolkata@nse.co.in	033- 40400412 / 459
Mumbai (WRO)	compliance_wro@nse.co.in	022-26598200 / 022-61928200
Central Help Desk	compliance_assistance@nse.co.in	

Annexure – A

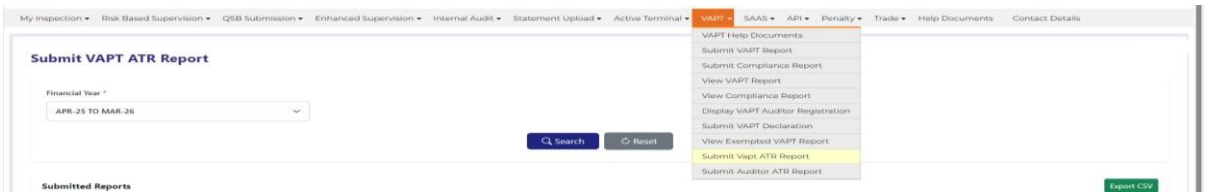
Guidelines for submission of VAPT audit Action Taken Report (ATR)

I. Member Submission

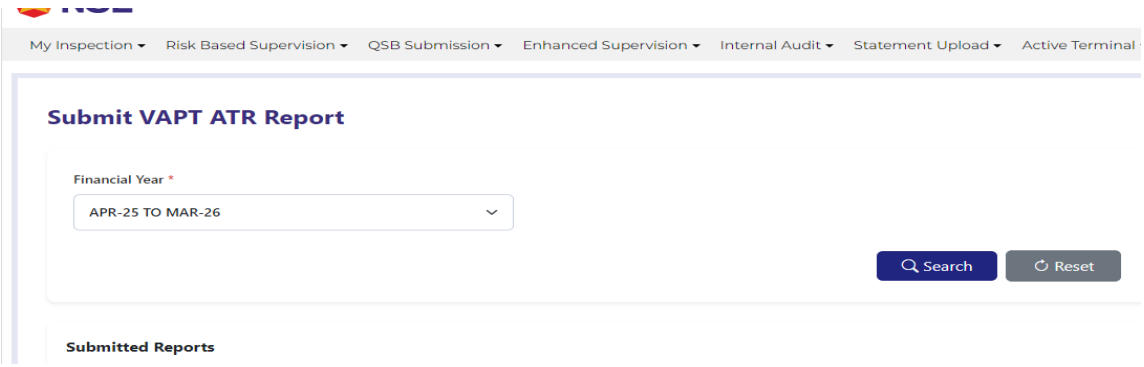
Submission of Action Taken Report by Member

1) Click on **INSPECTION** tab and follow the path below to submit Action Taken Report

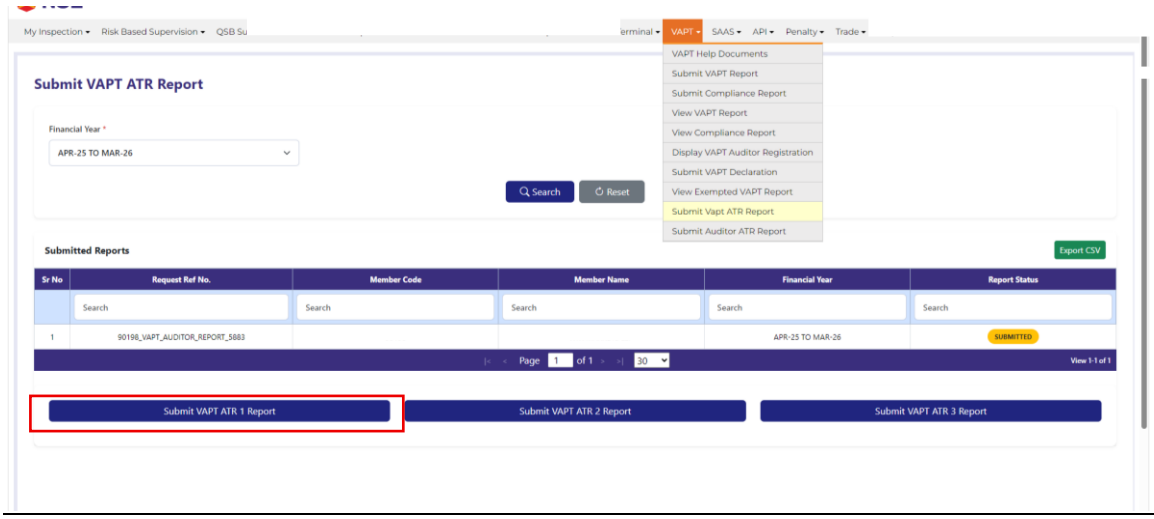
Inspection > **VAPT > Submit VAPT ATR report** for VAPT Auditor Action Taken Report



2) Upon Clicking VAPT ATR below screen will be displayed, select eligible audit period and click on '**Search**' button.

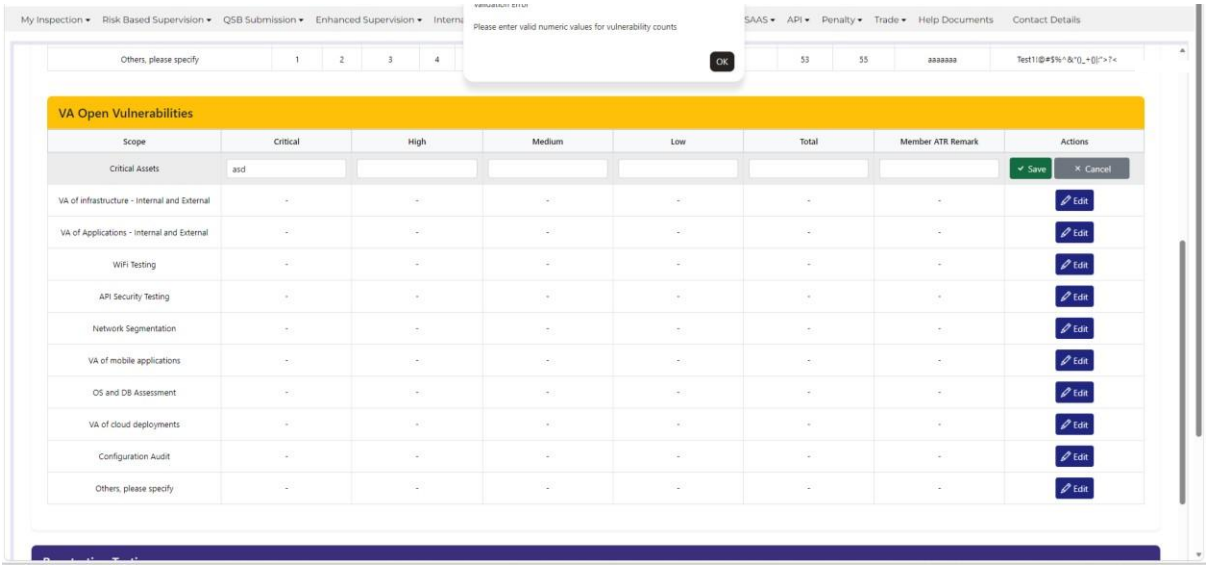


3) Member details will be displayed with appropriate audit period, click on '**Submit VAPT ATR 1 Report**' button.

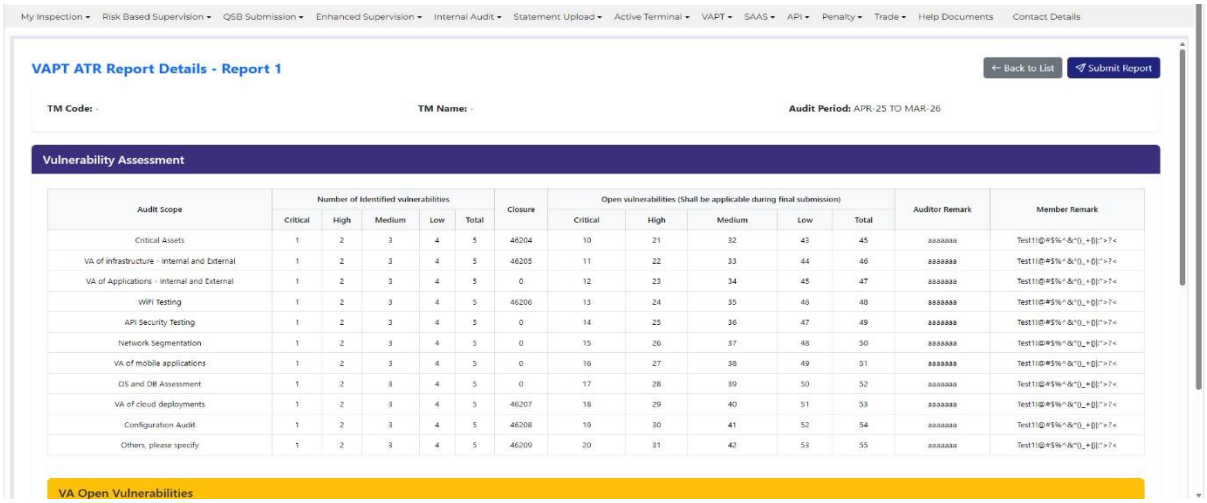


(***Note: - If VAPT ATR 1 report is submitted then click VAPT ATR 2 and If VAPT ATR 2 report is submitted then click VAPT ATR 3***)

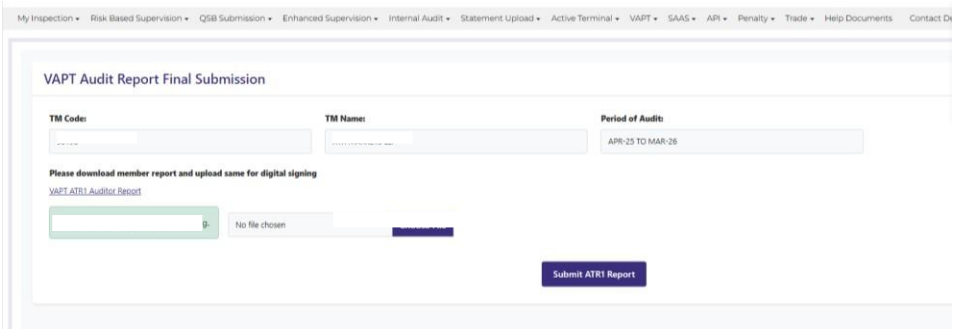
4) The details for the Vulnerability Assessment report submitted at preliminary report will be displayed with open vulnerability. Clicking the link, following screen will appear member needs to fill the remark for the same in **Member ATR Remark** and Submit the report.



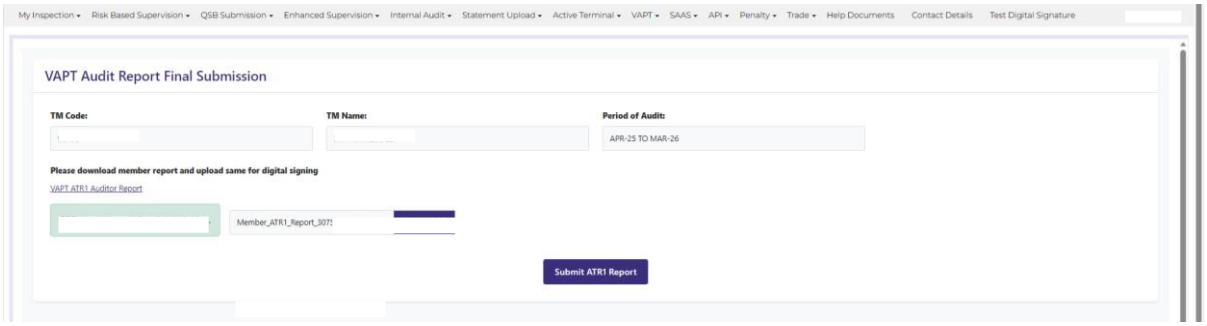
5) Click on Submit report to proceed further.



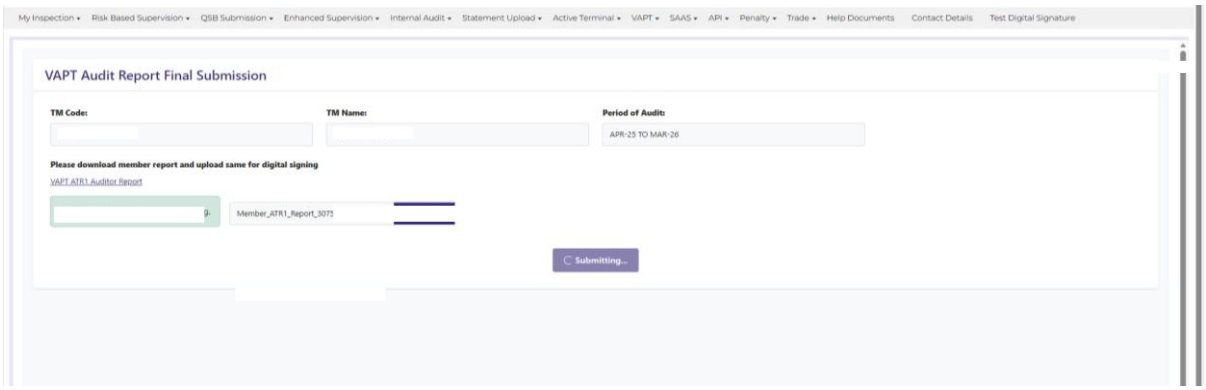
6) Click on '**Download'** button to download PDF file of ATR.

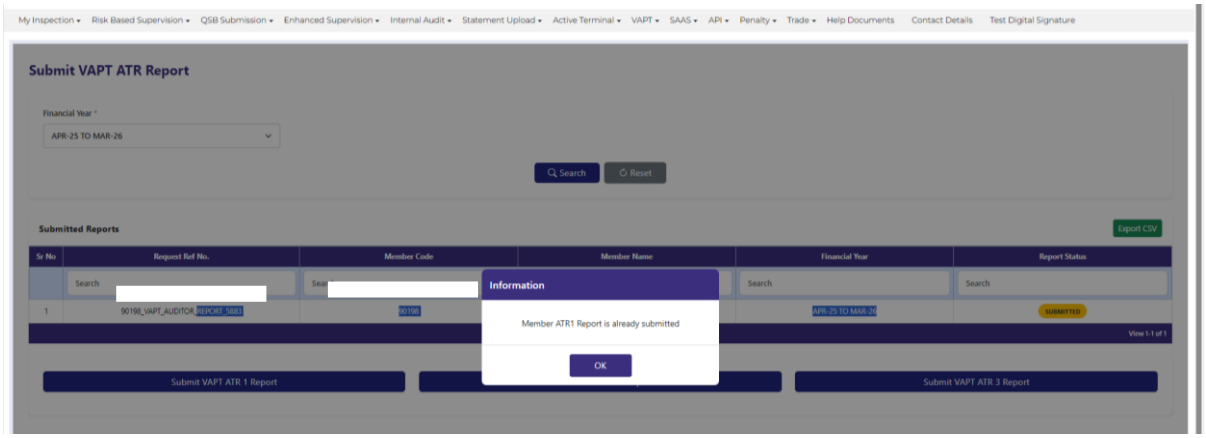


Once file is downloaded Sign the PDF, click on choose file button to upload the signed PDF. (*Please note that, If E-Lock utility is not working in the system then members can sign the report outside the system and upload the report to the system.*)



7) Click on '**Submit'** button to Submit the VAPT ATR report.





Note: -

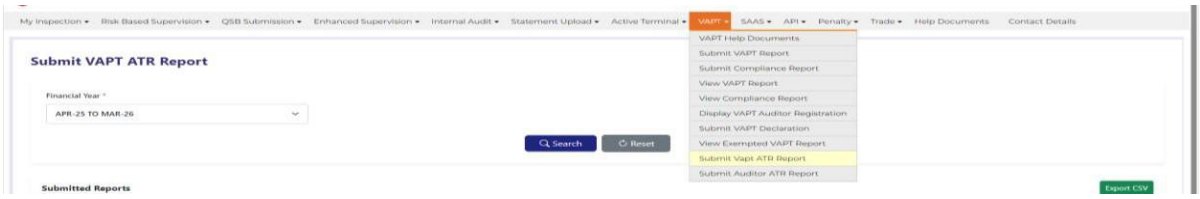
1. **Submission of Action Taken Report shall be considered complete only after Auditor submits the report to the Exchange.**

II. Auditor Submission

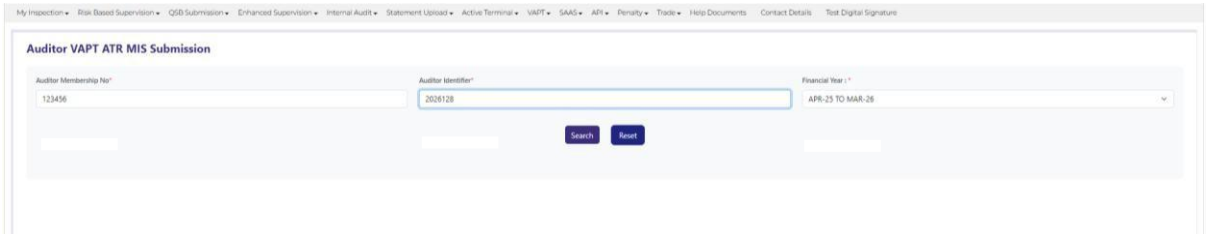
Submission of Action Taken Report by Auditor.

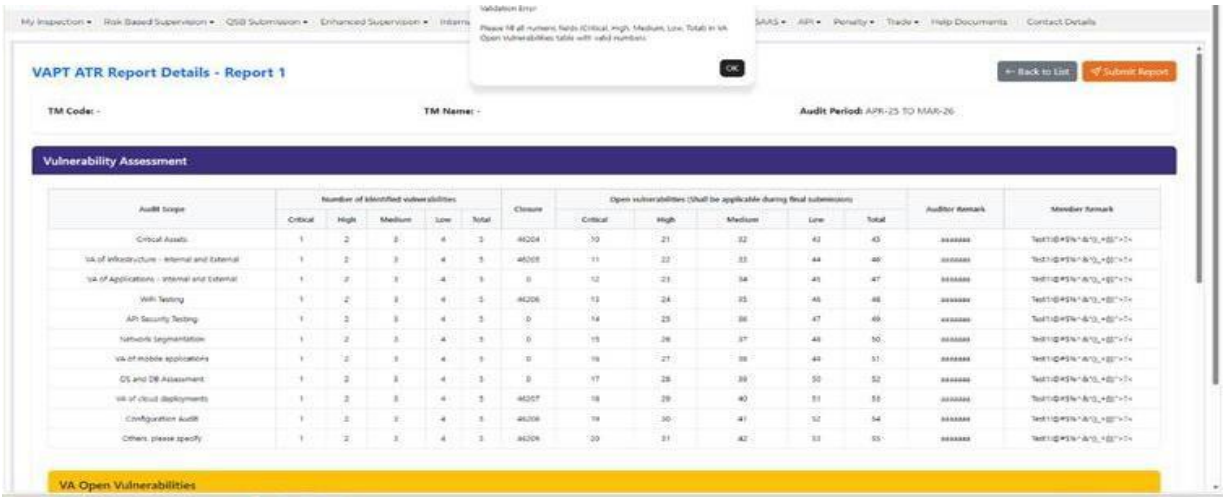
1) After clicking on **VAPT-Auditor**, kindly follow the path below to submit Action Taken Report

VAPT-Auditor > VAPT > Submit VAPT ATR Report

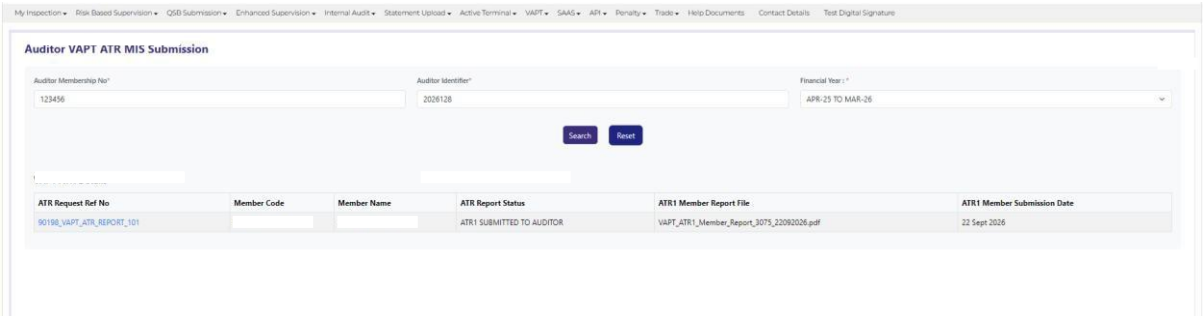


2) upon Clicking Auditor MIS below screen will be displayed, select eligible audit period, and enter **Auditor Membership No** and **Auditor Identifier** used during submission of preliminary audit report. Click on '**Search**' button.

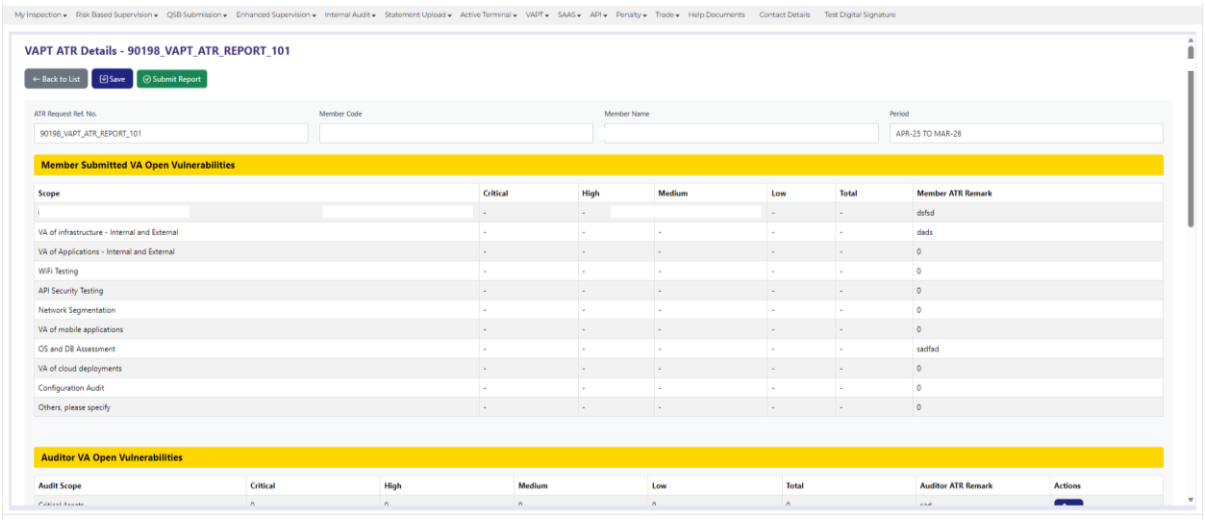




3) Click on '**ATR Request Ref No**' link to proceed further with submission.



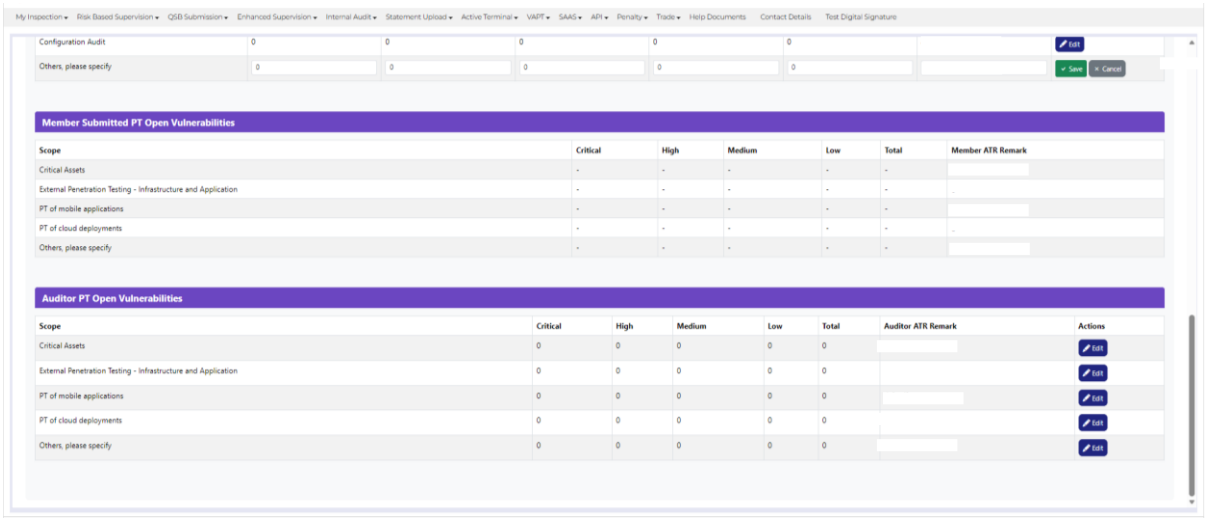
4) On following screen, Auditor must provide **Status** of compliance, **Auditor's Comments** and **Date.**



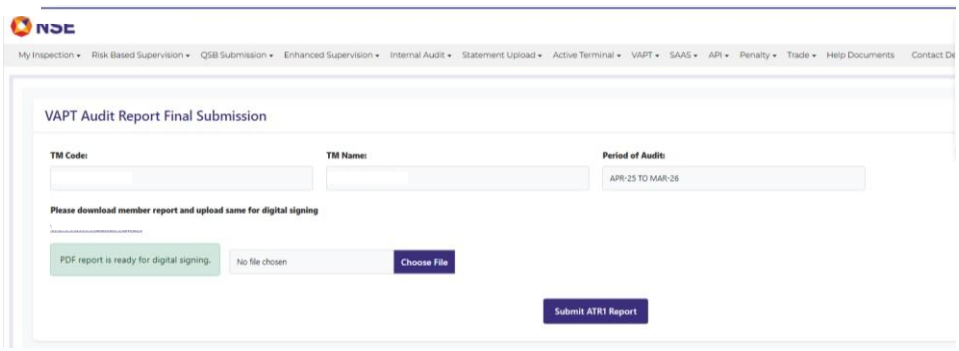
5) Auditors must provide High, Medium, Low for open vulnerabilities in the table with auditor remarks after filling in the details of an open vulnerability.

6) Click on confirmation check box before clicking on '**Submit ATR Details'** button.

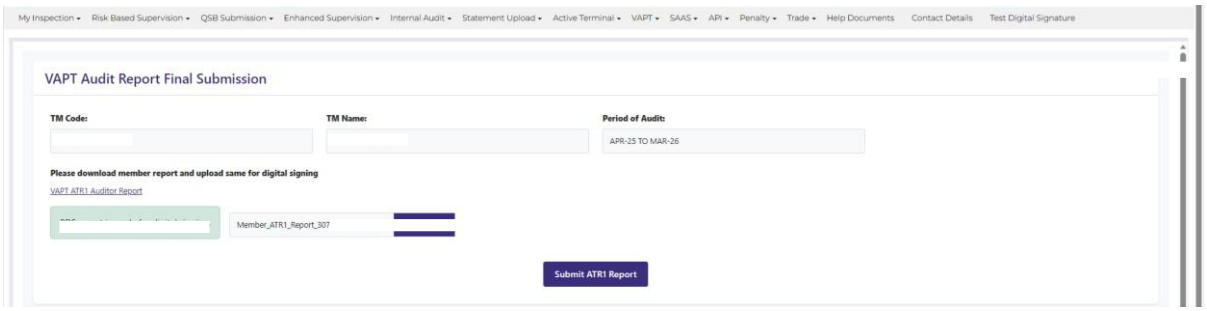
7) Following page opens after clicking on '**Submit ATR Details'** button. Check details entered before clicking on '**Submit report**' button at the top.



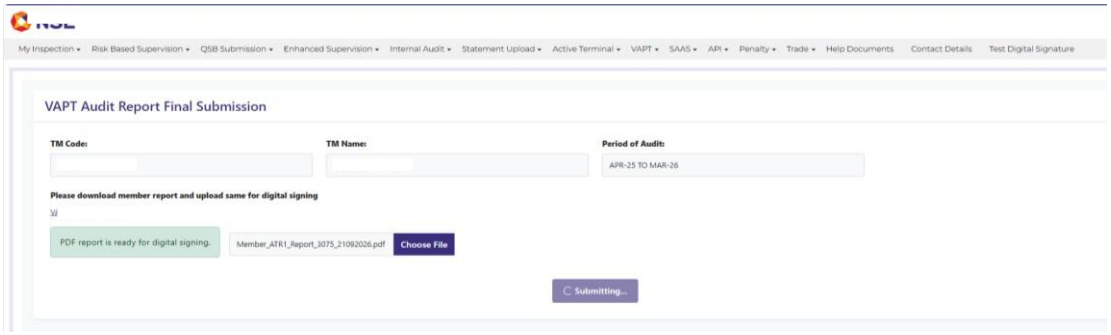
8) Click on 'Download' button to download PDF file of ATR.

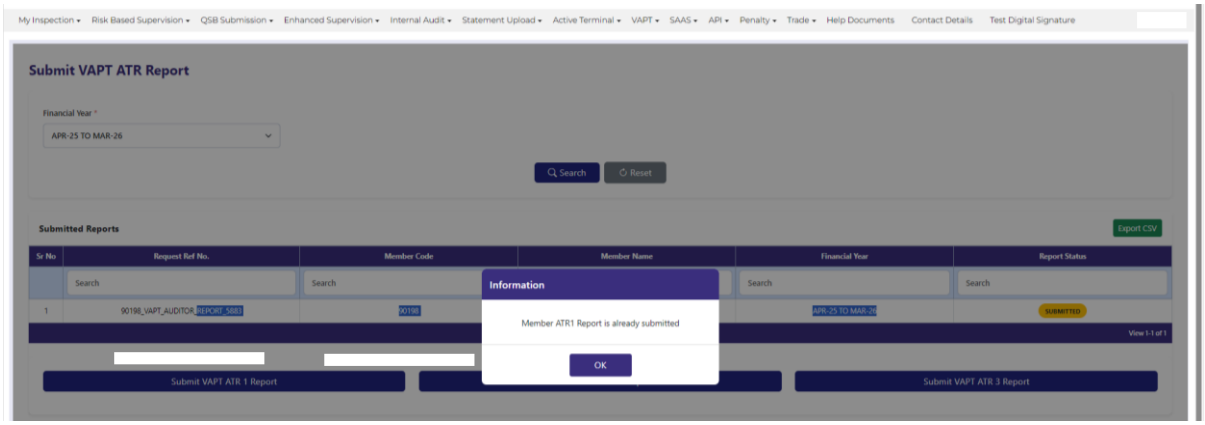


Once file is downloaded Sign the PDF, click on choose file button to upload the sign PDF. (*Please note that, If E-Lock utility is not working in the system then auditors can sign the report outside the system and upload the report to the system.*)



9) Click on ‘Submit’ button to Submit the VAPT ATR report.





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